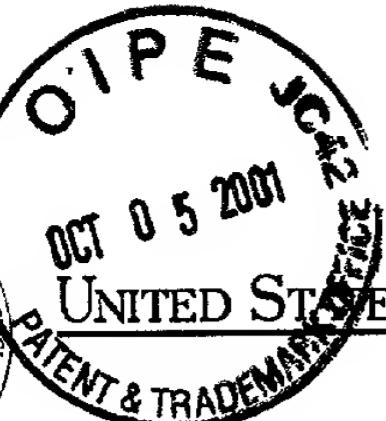


#25



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,113	05/01/2001	Changjun Yu	A-69306-1/RFT/RMS/RMK

CONFIRMATION NO. 5406

## FORMALITIES LETTER



\*OC000000006400657\*

ROBIN M. SILVA, ESQ.  
 FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
 Four Embarcadero Center - Suite 3400  
 San Francisco, CA 94111-4187

Date Mailed: 08/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$810.
  - \$540 for 30 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1650.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

10/10/2001 00000017 09847113  
 01 FC:101  
 02 FC:103  
 03 FC:104  
 04 FC:105  
 740.00 OP  
 540.00 OP  
 260.00 OP  
 130.00 OP

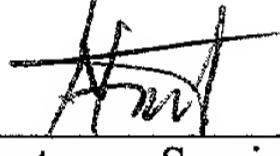
is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at  
[patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05-03-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A-69306-1/RFT/RMS/RMK

Anticipated Classification of this Application:

Class: Subclass:

Application 09/626,096

Examiner: Unknown

Art Unit: 1631

"EXPRESS MAIL" MAILING LABEL  
NUMBER EL 605 084 635 US

DATE OF DEPOSIT May 1, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POS  
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DAY  
INDICATED ABOVE AND IS ADDRESSED TO: ASSISTANT  
COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION - NO FEE  
WASHINGTON, DC 20231.

TYPED NAME RENEE M. KOSSLAK

SIGNED Renee M. Kossak

**Box PATENT APPLICATION - NO FEE**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a request for filing an

- Original
- Continuation
- Divisional
- Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of

CHANGJUN YU, et al.

for TARGET ANALYTE DETECTION USING ASYMMETRICAL SELF-ASSEMBLED MONOLAYERS  
(Title of Invention)

This  continuation  divisional  continuation-in-part

claims the benefit of United States Application Serial No. 60/201,026, filed on May 1, 2000 and priority to pending United States Application Serial No. 09/626,096, filed on July 26, 2000.

1. (a)  Enclosed is a new application.

(b)  Enclosed is a continuation-in-part application.

(c)  Enclosed is a copy of the prior application.

2. (a)  Enclosed is a new Declaration.

(b)  Enclosed is a copy of the prior Declaration and Power of Attorney as originally filed.

3. (a)  Enclosed is a Small Entity Affidavit.

(b)  A Small Entity Affidavit is of record in the prior application.

4.  The filing fee is calculated below:

Claims as filed in the prior application, less any claims canceled by amendment below:

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY		OTHER THAN SMALL ENTITY	
			RATE	Fee	Rate	Fee
<b>BASIC FEE</b>				\$355		\$710
<b>TOTAL CLAIMS</b>	- 20 =		× 9 =	\$	× 18 =	\$
<b>INDEP CLAIMS</b>	- 3 =		× 40 =	\$	× 80 =	\$
<b>MULTIPLE DEPENDENT CLAIM PRESENTED</b>	<u>yes</u> <u>no</u>		+135 =	\$	+270 =	\$
If the difference in Col 1 is less than zero, enter "0" in Col 2			TOTAL	\$	TOTAL	\$